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Smart Breeding Platform: a web-based tool for high-throughput population genetics, phenomics, and genomic selection

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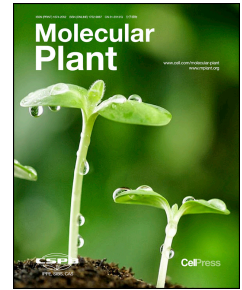
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1 **Smart Breeding Platform: a web-based tool for high-throughput population genetics,**
2 **phenomics, and genomic selection**

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19
20 **Runing title:** Smart Breeding Platform

21 In the era of big data and artificial intelligence, "smart breeding" has become a broad
22 conceptual framework encompassing the paradigm shift of crop breeding to relying on
23 analysis of high-throughput population genetics and phenomics data to conduct genomic
24 selection, allowing identification and optimal use of the genetic potential in crop species
25 (Sharma et al., 2022; Xiao et al., 2022; Xu et al., 2022). Most existing tools for analyzing
26 high-throughput breeding data require extensive computational power, complex installation
27 processes, and command-line expertise, and are therefore challenging and inconvenient
28 for the majority of researchers and breeders (Brandies and Hogg, 2021). To overcome
29 these limitations, we developed Smart Breeding Platform (<https://sbp.ibreed.cn>), a user-

30 friendly, web-based tool for management and analysis of large-scale genetic, genomic, and
31 phenomic data. This platform is freely accessible through the internet and allows users to
32 import data, perform various statistical analyses, and conduct genome-wide association
33 studies and genomic selection using both classical machine learning and deep-learning
34 models. It will enable plant breeders to easily conduct the following steps: (1) efficiently
35 record, manage, and process raw phenotypic and genotypic data; (2) perform phenotypic
36 and population genetic analyses in highly customizable ways; and (3) easily conduct
37 GWAS and genomic selection using classical machine learning and deep-learning models.
38 Smart Breeding Platform contains four main sections (Figure 1): (1) Germplasm Data
39 Management, (2) Test Management, (3) Genomic Data Management, and (4) Data
40 Analysis. Each section is described in detail below.

41

42 **Germplasm Data Management**

43 This section contains tables for storing germplasm and intermediate material data and
44 pedigree data. The tables are directly editable and function similarly to a standard
45 spreadsheet.

46 *Germplasm and intermediate material table*

47 In the germplasm and intermediate material table, germplasm metadata can be
48 entered in a single row each, with the following parameters (columns) provided by default:
49 germplasm name, year of seed production, breeding station, storage location, quantity
50 harvested, quantity currently available, and serial number. Additional parameters, including
51 images, can be added and customized by the user. The data in each row are directly
52 exportable. Advanced lines can be promoted, allowing users to track advancement choices
53 over time. A summary bar graph shows the number of plant lines across years, generations,
54 and storage locations. All tables support efficient row-level filtering and sorting for quick
55 data retrieval.

56 *Pedigree module*

57 The pedigree module contains pedigree records for existing germplasm. Information
58 about parents and offspring can be viewed in either table or graph form. Graphs can be
59 used to visualize the lineage of one or more lines, including inbred lines, hybrids, or both.

60 Graphs can also be customized to show information for only parental lines or progeny. In
61 instances involving more than one generation, the depth of the visualized pedigree can
62 also be adjusted. The entire pedigree for a breeding program can be displayed as a
63 network graph that highlights the most popular lines.

64 *Location module*

65 By default, this table includes the following fields: year, season, breeding station,
66 location name, longitude, latitude, size (e.g., the number of rows in a field trial), and
67 environmental factors such as maturity zone, soil characteristics, and agronomic practices.
68 These data can be used to compare variables between sites and track relevant factors that
69 may contribute to phenotypic outcomes.

70 *Warehouse in-out module*

71 This module is used to track all seeds that enter and exit a specific research station.
72 Users can log events such as seed allocation for yield trials, seed transfers to other
73 breeders, or receipt of new germplasm. Relevant data including seed quantity and the time
74 and date of transfers can be included. A bar chart allows users to examine changes in seed
75 stocks over time.

76 In summary, the Germplasm Data Management section allows for efficient and intuitive
77 storage and analysis of metadata for all germplasm used in a breeding program.

78 **Test Management**

79 Broadly, this section stores data about all field testing and nursery locations. Details
80 of the two specific modules, the field testing module and the crossing nursery module, are
81 included below.

82 *Field testing module*

83 This section includes tools for management of field testing. It contains functionality for
84 specifying relevant lines, experimental designs, replicate numbers, and field layouts.
85 Seven commonly-used experimental designs are included: completely randomized design,
86 randomized complete block design (RCBD), augmented design, spatial design, sparse
87 design, alpha-lattice design, and row-column design. After a design is selected by the user,
88 the module can be used to automatically generate a suitable field layout based on the
89 number of entries in the experiment and the number of available field plots. By including

90 information about the physical location of a trial, multiple experiments from different
91 breeders can be automatically placed within a single field. The field layout is output as a
92 table containing the coordinates of each plot within the field. This module also includes
93 heatmaps, which show the distribution of values for each trait across the field; a stability
94 analysis, which shows the performance of specific lines across locations; and a testing
95 history, demonstrating the trials and locations in which a specific line has been tested.

96 *Crossing nursery*

97 The crossing nursery module can be used to plan new lines and pedigrees. The user
98 selects sets of female and male parental lines. The module then generates a crossing
99 matrix, with options for user input regarding specific cross combinations (e.g., crossing
100 patterns) and harvest instructions (for each row or plant). The module auto-generates
101 inventory entries to be added to the germplasm table and adds the pedigree of each cross
102 combination to the pedigree record table.

103 The Test Management section has tools to track experimental locations and plant
104 research materials (i.e., seeds) with ease. It allows researchers to easily visualize available
105 stock and to plan field experiments and crosses. Intuitive organization of these resources
106 in a single location enables researchers to focus on planning and conducting high-level
107 experiments.

108 **Genomic Data Management**

109 In this section, users can easily upload and manage all genomic sequencing data,
110 reference genome files, and genomic variant files. The data stored in this section can then
111 be used in the Data Analysis section.

112 **Data Analysis**

113 *Phenotypic statistical analysis module*

114 This module is used for analyzing high-throughput phenotypic data collected in the
115 field. Multi-year, multi-location data can be extracted directly from the field testing module
116 or can be uploaded separately. Based on the experimental design, the module can be used
117 to fit a mixed linear model (MLM) to calculate best linear unbiased estimation (BLUE) or
118 best linear unbiased prediction (BLUP) (Bates et al., 2015). The model fits two-dimensional
119 spatial patterns for spatial designs to account for soil heterogeneity (Covarrubias-Pazaran,

120 2016; Rodriguez-Alvarez et al., 2018). Data can be analyzed separately for each location
121 or as an integrated dataset including points from all locations. For each genotype, the
122 module outputs the BLUP and BLUE values of the included traits. Variance components,
123 heritability, and trait correlations can also be calculated. Entry-mean heritability and plot-
124 mean heritability of each trait are derived from the variance components of random models.
125 These two metrics can help breeders to assess the precision of trait values both at single-
126 plot level and across locations.

127 This module also automatically calculates correlations for all pairs of phenotypic traits.
128 The phenotypic correlation between each pair of traits is calculated as the Pearson
129 correlation coefficient of the raw phenotypic data, whereas the genetic correlation between
130 each pair of traits is calculated as the correlation of genetic effects in a model fitting both
131 traits and residual correlation effects (Muñoz and Sanchez, 2020). Examination of trait
132 correlations enables breeders to identify traits that can be bred independently (i.e., traits
133 that have low correlations with other traits) and traits that must be separated or bred jointly
134 (i.e., traits that have strong positive or negative correlations with other traits). For each
135 linear model, the goodness of fit and the validity of the residual normality assumption can
136 be assessed using diagnostic plots, including raw data distribution histograms, residual
137 histograms, plots showing residual compared to fitted values, and residual Q–Q plots.
138 Breeders can then select the best lines (those with ideal values across traits) using
139 scatterplots that display the distribution and correlation of BLUP or BLUE values for pairs
140 of traits. Overall, this module includes advanced single-trait and multi-trait analyses that
141 can be conducted in an automated, user-friendly manner.

142 *Genetic variation analysis module*

143 This module can be used to efficiently identify genetic variants based on high-
144 throughput genome sequencing data. In comparison to the standard pipeline for sequence
145 alignment and germline variant-calling analysis (BWA+GATK) (Yin et al., 2021), the
146 analysis method used here is significantly faster on our platform, due to the boosted tools
147 with novel acceleration algorithm on the NVIDIA CUDA platform. Results of the new
148 method are highly consistent with the standard BWA+GATK pipeline (99.9% accuracy) and
149 are completed ~100× faster when two NVIDIA Turing T4 graphics cards are used. Inclusion

150 of additional graphics cards would further improve the processing speed. The sequence
151 alignment and sequencing depth can be visualized with Integrative Genomics Viewer (IGV)
152 (Robinson et al., 2011), which has been optimized to load large genome dataset.

153 *Genomic statistical analysis module*

154 This module facilitates analyses of genetic diversity for a specific population. It takes SNP
155 data as input, either as VCF files produced by the variant-calling module or as user-
156 uploaded HapMap or VCF files. The module outputs some or all of the following 10
157 population genetics measures as specified by the user: allele frequency values, genotype
158 frequency values, population divergence (F_{st}) values, nucleotide diversity values,
159 population structure results, a kinship matrix, a neighbor-joining tree, unweighted pair
160 group method with arithmetic mean (UPGMA) clustering results, linkage disequilibrium (LD)
161 values (r^2 , D , and D'), and an LD graph. These analyses enable breeders to evaluate
162 germplasm diversity and select the best lines for future crosses to maintain long term
163 genetic gain. For example, the neighbor-joining tree (Paradis and Schliep, 2019) and
164 UPGMA clustering show the genetic similarities among individuals in the population and
165 enable breeders to assess the genetic diversity in the population.

166 *GWAS analysis module*

167 The GWAS module implements the 'GAPIT' R package (Wang and Zhang, 2021) to
168 identify SNPs underlying phenotypic variations. Phenotype and marker data can be
169 transferred directly from other modules in the platform or can be uploaded individually by
170 the user. Seven models from the 'GAPIT' package are included: Generalized Linear Model
171 (GLM), MLM, Compressed Mixed Linear Model (CMLM), Multi-Locus Mixed Model
172 (MLMM), Settlement of MLM Under Progressively Exclusive Relationship (SUPER), Fixed
173 and Random Model Circulating Probability Unification (FarmCPU), and Bayesian-
174 information and Linkage-disequilibrium Iteratively Nested Keyway (BLINK). The user can
175 define the minor allele frequency (MAF) for filtering SNPs and the number of principal
176 components (PCs) to include in the model as fixed effects. The output includes genome-
177 wide and single-chromosome Manhattan plots. Manhattan plots can also be generated for
178 multiple traits to determine whether nearby SNPs control differing traits. Q-Q plots show
179 the observed compared to the expected (i.e., uniformly-distributed) p -values. Additional

180 summary graphs show the distributions of traits and markers in the genome and the LD
181 values between nearby markers. Statistically significant markers are considered strong
182 candidates for marker-assisted selection (MAS) for desired traits or for fine-mapping to
183 identify causal genes for a specific phenotype.

184 *Genomic selection analysis module*

185 The genomic selection module is used to predict trait values among inbred lines,
186 hybrids, or progenies based on molecular markers. It consists of three steps: dataset
187 formation, training, and prediction. To generate a training dataset, the phenotype and
188 marker data are uploaded or retrieved from the phenotype analysis module and the variant-
189 calling module. In the dataset formation step, the program calculates the number of
190 samples with both phenotype and marker data. In the model training step, the user selects
191 a dataset, trait(s) of interest, and a model. The latter is either a statistical model such as
192 genomic BLUP (GBLUP) (VanRaden, 2008; Endelman, 2011), a classical machine learning
193 model, or a deep-learning model. After the model is trained, cross-validation is performed
194 and the predictive accuracy is displayed. The user can then choose a trained model (e.g.,
195 the model with the highest predictive accuracy) to predict the performance of offspring from
196 a cross or of the corresponding parental lines. This module yields predicted trait values for
197 each specified line, allowing a breeder to select lines that are predicted to have optimal
198 performance and to discard lines with undesirable traits. The breeder can thus select the
199 most promising potential crosses from many possible combinations, saving time and
200 resources. The predicted high performance inbreds or hybrids can be directly exported to
201 a germplasm table for crossing or field evaluation.

202 **Case study**

203 To showcase the Smart Breeding Platform's capabilities, we utilized a rice dataset
204 (Wang et al., 2018) with 100 varieties, each featuring multi-year, multi-location phenotypic
205 data (Supplemental Table S1). Germplasm data were uploaded to the "rice100" table in
206 the Germplasm Management module. The first five varieties advanced to
207 "Advancement2023." A pedigree table simulated 35 records from ERS470485 and
208 ERS470543. Three testing locations were added to the Location Management table, each
209 with 20 rows and 20 ranges (totaling 400 plots). Field testing experiments, rice_2022 and

210 rice_2023, followed RCBD designs across different locations. Phenotypic data underwent
211 analysis in the Phenotypic Statistical Analysis module, generating BLUP and BLUE values,
212 assessing genetic and phenotypic variance, heritability, and trait correlations.

213 In the Crossing Nursery module, 10 female and 10 male lines produced 28 two-way
214 crosses. Germplasm data populated the 'Nursery23' table, and pedigree records filled the
215 respective table. The Genomic Data Management module received the Os-Nipponbare-
216 Reference-IRGSP-1.0 file and paired-end sequencing data. The Genetic Variation Analysis
217 module conducted variant calling; 100 VCF files merged with criteria (depth > 50, quality
218 value > 500), resulting in 55,589 SNPs. The Genomic Statistical Analysis module used
219 SNPs for diverse population results. BLUP and BLUE values, along with merged marker
220 data, identified significant SNPs in the GWAS module for plant height and heading date.
221 The same datasets predicted values for new inbred and hybrid lines in the Genomic
222 Selection module. Details of the analyses and results can be found in the platform manual.
223 All data and results can be viewed by clicking "Enter as Guest" button on the login page.

224

225 In conclusion, this novel intelligent breeding platform integrates numerous data types
226 (seed inventory, field testing, phenotypes, SNP markers, and plant crosses) with key
227 analyses (GWAS, population genetic parameters, and genomic selection) in a single
228 seamless system. All analytical tools have user-friendly interfaces and are simple to
229 configure and run. The computing speeds for the genomic data analyses are substantially
230 faster in this platform than in conventional tools. Smart Breeding Platform provides a
231 comprehensive tool for the storage and management of germplasm data, experiments,
232 and statistical analyses, allowing breeders to more easily identify and generate optimal
233 germplasm, ultimately increasing the speed of genetic gain.

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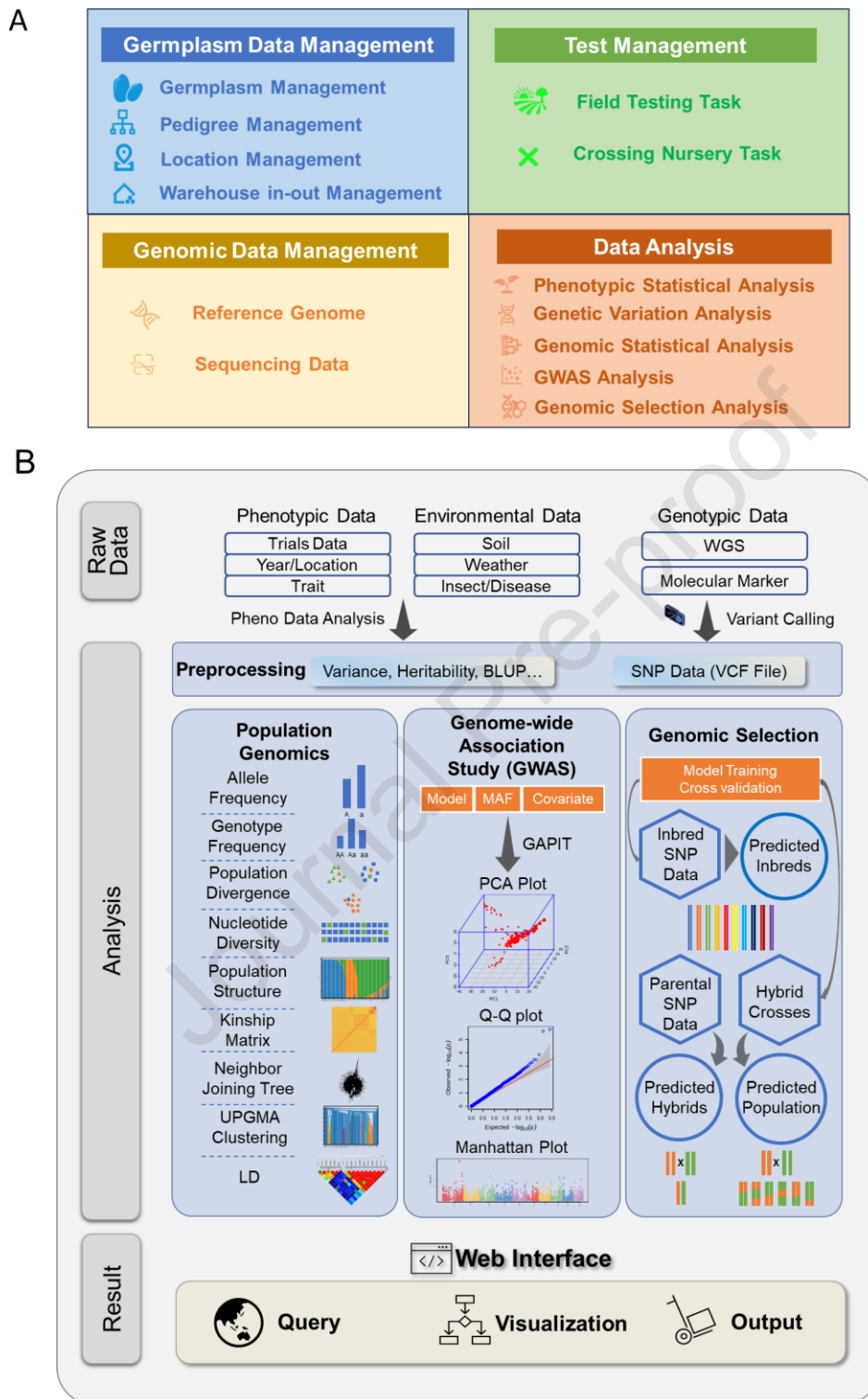
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240 **Figure**

241

242 Figure 1. Main sections (A) and analysis workflow (B) of the Smart Breeding Platform.

243 WGS, whole genome sequencing; BLUP, best linear unbiased prediction; SNP, single

244 nucleotide polymorphism; UPGMA, unweighted pair group method with arithmetic mean;

245 LD, linkage disequilibrium; and PCA, principal component analysis.

246

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251 **Author contributions**

252 W.Z., Q.Q., H.L., and F.G. conceived the project. X.L., P.Z., Y. F., J.M., S.G., L.S., M.A.,
253 H.L., and F.G. conducted data analyses and platform development. Z.Y. organized data
254 used in case study. W.Z., Q.Q., L.L., W.W., and W.F. provided data and technical guidance.
255 H.L., X.L., P.Z., M.A., and F.G. wrote the manuscript. All authors read and approved the
256 manuscript.

257 **Acknowledgements**

258 The authors declare that they have no conflict of interest.

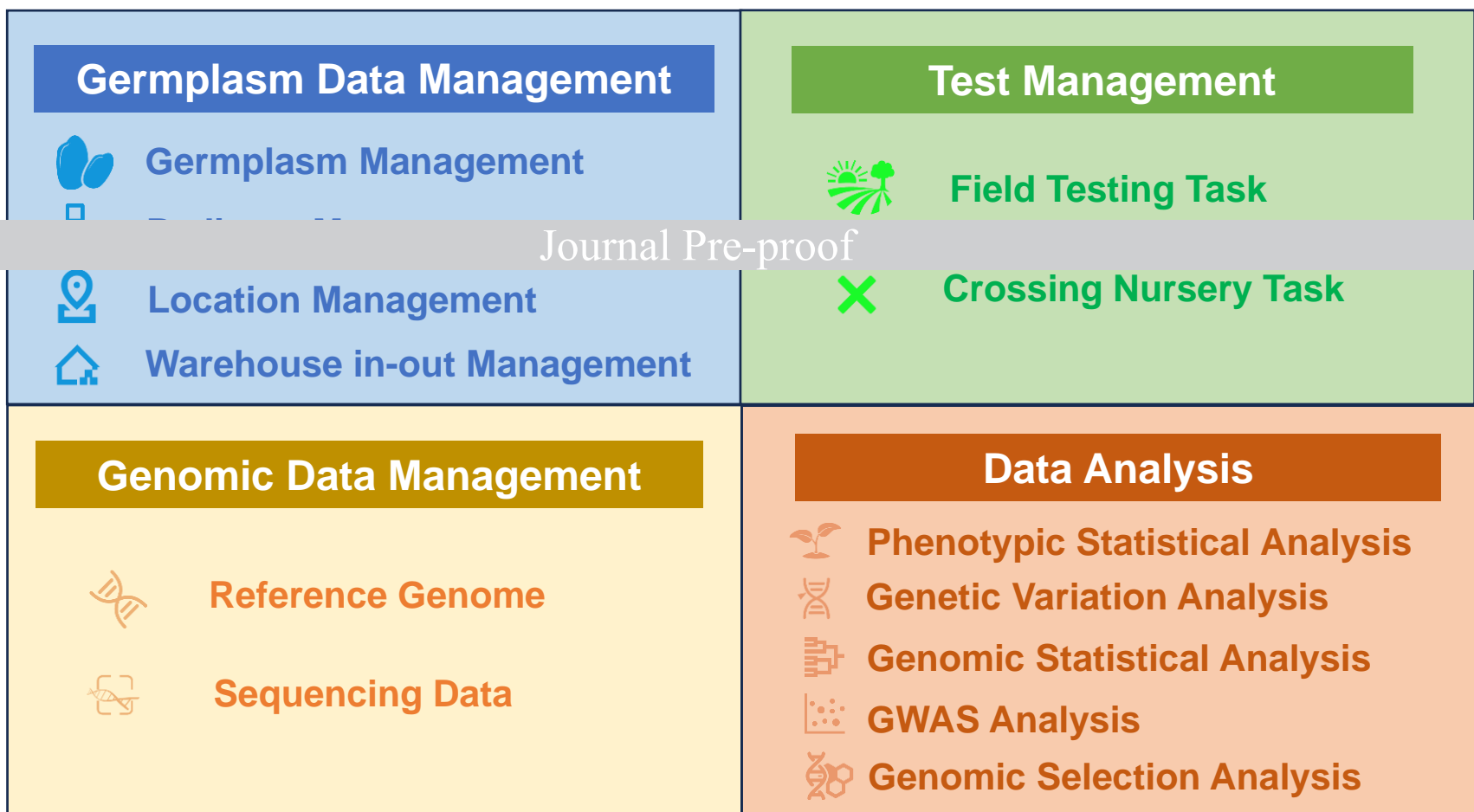
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