1	Photosynthetic capacity and assimilate transport of the lower
2	canopy influence maize yield under high planting density
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#### 28 Abstract

Photosynthesis is a major trait of interest for development of high-yield crop plants. 29 However, little is known about the effects of high-density planting on photosynthetic 30 responses at the whole-canopy level. Using the high-yielding maize (Zea mays L.) 31 cultivars 'LY66', 'MC670', and 'JK968', we here conducted a two-year field 32 33 experiment to assess ear development in addition to leaf characteristics and photosynthetic parameters in each canopy layer at four planting densities. Increased 34 planting density promoted high grain yield and population-scale biomass 35 accumulation despite reduced per-plant productivity. MC670 had the strongest 36 adaptability to high-density planting conditions. Physiological analysis showed that 37 38 increased planting density primarily led to decreases in the single-leaf area above the 39 ear for LY66 and MC670 and below the ear for JK968. Furthermore, high planting density decreased chlorophyll content and the photosynthetic rate due to decreased 40 41 canopy transmission, leading to severe decreases in single-plant biomass accumulation in the lower canopy. Moreover, increased planting density improved 42 pre-silking biomass transfer, especially in the lower canopy. Yield showed significant 43 positive relationships with photosynthesis and biomass in the lower canopy, 44 demonstrating the important contributions of these leaves to grain yield under dense 45 46 planting conditions. Increased planting density led to retarded ear development as a 47 consequence of reduced glucose and fructose contents in the ears, indicating reductions in sugar transport that were associated with limited sink organ 48 development, reduced kernel number, and yield loss. Overall, these findings 49 highlighted the photosynthetic capacities of the lower canopy as promising targets for 50 51 improving maize yield under dense planting conditions.

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Keywords: maize; planting density; photosynthesis; biomass accumulation; ear
development; grain yield

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#### 62 Introduction

To meet the nutritional demands of the 9 billion humans who are predicted to live on 63 64 earth by 2050, a 60–100% increase in crop production is necessary (Prajal et al., 2015; Tian et al., 2021). Target species for increased production include grains such as 65 66 maize (Zea mays L.), which is a staple food throughout the world and is currently the 67 most abundantly produced of all cereal crops (FAO, 2021). As the second-largest maize producer, China contributes 23% of the global maize supply and contains 21% 68 of the maize-growing area (FAO, 2021). However, rapid urbanization, the economic 69 growth and growing domestic meat consumption over the past two decades has led to 70 71 a widespread increasing occupation and fragmentation of arable land, including 72 maize-growing land (Zhang et al., 2023; Xin et al., 2023). In the face of limited 73 available arable land for maize growth, it is crucial to improve maize production per 74 unit area to ensure food security.

Planting density is one of the most important agronomic practices in maize 75 production (Zheng et al., 2017; Luo et al., 2023). Increasing planting density has been 76 shown to improve maize yield by an average of 17-20% (Assefa et al., 2018). This 77 approach can allow optimal use of available sunlight, promoting efficient conversion 78 79 of carbon dioxide and water into maize grains (Ma et al., 2020; Simkin et al., 2019; 80 Hu et al., 2020). However, dense planting can lead to intra-specific competition for 81 available resources, namely light (in the aerial tissues) and nutrients and water (in the roots) (Deng et al., 2012; Duan et al., 2023). This can result in decreased per-plant 82 growth and yield (Yan et al., 2021), although the effects vary significantly between 83 84 maize varieties.

At the whole-field scale, vertical light interception and light absorption in 85 specific canopy layers are strongly affected by the canopy architecture (Sarlikioti et 86 87 al., 2011; Sultana et al., 2023). Previous studies have shown that variability in the light environment along the vertical canopy profile significantly impacts leaf 88 physiology, energy dissipation, and photosynthetic capacity (Andrea et al., 2016). 89 Therefore, photoassimilation (and subsequently yield) in each layer along the canopy 90 91 profile are directly dependent on canopy architecture. Several studies have indicated 92 that intermediate or upper leaves in the canopy contribute a majority of maize carbon accumulation and grain yield (Allison and Watson 1966; Xu et al., 2021; Slattery et 93 al., 2018). However, overall canopy productivity is still significantly affected by the 94 lower layers. Increased planting density reduces light penetration into these layers 95

96 (Timlin et al., 2014); plant shade responses thus strongly influence yield production
97 under high planting density conditions. Decreased light availability can accelerate
98 senescence and decreases radiation utilization efficiency, thus reducing per-plant yield
99 (Zhang et al., 2019; Guo et al., 2021). Despite these prior findings, a systematic
100 understanding of the relationship between canopy structure and maize grain yield
101 remains lacking.

Maize is a cross-pollination crop with two distinct inflorescences, referred to as 102 the tassel (male) and the ear (female). These structures share common developmental 103 processes in their early stages but have unique structural features at maturity that 104 directly affect yield (Parvathaneni et al., 2020). Maize genotype is the primary 105 106 determinant controlling ear and tassel development (Wilson et al., 1978). However, 107 crop management strategies and environmental factors, such as planting density, drought, shading, and soil fertility, also lead to variations in ear/tassel differentiation 108 109 (Zhang et al., 2009; Hu et al., 2022). Previous studies have indicated that intra-specific competition for nutrients, water, and light can severely affect kernel 110 number per ear and tassel size in maize planted at high density (Pagano et al., 2007; 111 Zhang et al., 2018). Additionally, the extended anthesis-silking interval (ASI) 112 induced by high density causes asynchronous flowering, hindering successful 113 pollination and leading to yield losses of ~40–50% (Uribelarrea et al., 2008; Sher et 114 al., 2017). Thus, ASI is a critical trait contributing to density tolerance in maize, 115 although the physiological mechanism underlying ASI-associated yield loss under 116 high-density planting is largely unclear. 117

To delineate the mechanisms associated with maize single-plant yield loss under 118 high-density planting conditions, we here conducted a two-year field experiment in 119 the high-yield maize ecosystem of northwestern China. Systematic analyses were 120 carried out to characterize physiological changes in three high-yielding maize 121 varieties grown at four planting densities. The response patterns of photosynthetic-122 and yield-related traits along the canopy layers were measured and differences in the 123 effects of planting density on ear and tassel development were assessed. This 124 approach was designed to comprehensively reveal the regulatory mechanism(s) 125 underlying planting density responses in three maize varieties, providing key insights 126 127 into density tolerance traits to ultimately promote high-yield maize breeding.

#### 128 **Results**

# 129 Effects of planting density on maize yield and population-scale biomass 130 accumulation in the field

The two-year field experiments were conducted in Ningxia, China during the growing 131 seasons of 2019 and 2020 (Supplementary Fig. S1) to test the effects of planting 132 133 density on yield (Table 1). Three maize varieties were planted at four densities: 75,000, 105,000, 120,000, and 135,000 plants/ha (D1-D4, respectively), and aerial 134 plant tissues were vertically divided into four layers (layer I-IV) based on the ear 135 position for canopy profiling (Supplementary Fig. S2). Population-scale maize yield 136 was significantly affected by both planting density and variety, but not by the 137 interaction between density and genotype (Table 2). As the density increased, yield 138 139 tended to first increase, then decrease; and the yield peaked in the D2 group. LY66, MC670, and JK968 showed yield increases of 1.81-14.28%, 3.73-17.39%, and -3.83-140 141 8.37%, respectively, from D1 to D4 among 2-year experiments. The lower maximum yield of JK968 at high density may resulted from severe lodging, which occurred in 142 this variety at the vegetative stage. The optimal densities of LY66, MC670, and 143 JK968 were calculated as  $10.50 \times 10^4$ ,  $10.64 \times 10^4$ , and  $9.93 \times 10^4$  plants/ha, 144 145 respectively; these densities corresponded to maximum yields of 19.48, 20.75, and 17.7 t/ha, respectively (Supplementary Fig. S3). 146

147 Analysis of yield components indicated that increases in yield under high-density conditions were primarily due to increases in ear number per unit area. This increase 148 counteracted the significant decreases in kernel number per plant and 1000-kernel 149 weight. Accordingly, high planting density resulted in significant per-plant yield 150 decreases, especially for JK968. The barren stalk rate also increased along with the 151 planting density, with the highest rate in JK968, followed by LY66, then MC670. 152 Population biomass accumulation at maturity showed a similar tendency, with average 153 increases (mean values of D2/D3/D4 – D1, among 2-year experiments) of 15.18%, 154 19.29%, and 5.89% in LY66, MC670, and JK968, respectively. Furthermore, 155 increased planting density mainly caused significant biomass increases in layer II, 156 with average increases (mean values of D2/D3/D4 - D1, among 2-year experiments) 157 of 15.51%, 22.30% and 4.42% in LY66, MC670, and JK968, respectively 158 159 (Supplementary Fig. S4). However, the harvest index (HI) decreased with planting density. 160

### 162 Effects of planting density on per-plant biomass accumulation and transfer in163 each canopy layer

We next compared per-plant biomass accumulation between specific layers of the 164 canopy. Biomass accumulation was most abundant in layer II, followed by layer I. 165 Increases in planting density caused pronounced decreases in per-plant biomass 166 accumulation; across cultivars and planting years, the average decreases (mean values 167 of D2/D3/D4 – D1) were 18.20% and 24.31% in layers I and II, respectively, at the 168 silking stage and 35.17% and 26.92%, respectively, at the maturity stage (Fig. 1). 169 Reductions in biomass accumulation in layers I and II were greatest in JK968, 170 followed by LY66, then MC670. Increasing the planting density also increased the 171 total biomass transfer, with greater positive effects observed in layers I and II 172 173 (averaging 11.36 g and 3.95 g, respectively) than in layers III and IV (1.44 g and -0.04 g, respectively) (Fig. 2). Overall, biomass transfer was greatest in MC670 and lowest 174 175 in LY66. These findings suggested that biomass accumulation and transport in the lower canopy were of great importance to yield formation under high-density planting 176 177 conditions.

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179 Effects of planting density on photosynthetic characteristics in each canopy layer For individual leaves, the total area is an important indicator of photosynthetic 180 capacity. We therefore compared the single-leaf area at each leaf position in maize 181 plants grown at each planting density. The leaf area increased gradually with leaf 182 position in the lower portion of the plant, peaked in the middle of the plant, then 183 gradually decreased with leaf position in the upper plant (Fig. 3). LY66 and MC670 184 plants showed similar patterns of vertical leaf area distribution across planting 185 densities. For example, LY66 and MC670 showed significant reductions in leaf area 186 in layers III and IV as the planting density increased; in JK968, reductions in leaf area 187 occurred instead in layers I and II (Fig. 3, Supplementary Fig. S5). Further analyze the 188 average leaf length and average leaf width in each layer, in layers I and II, LY66 and 189 MC670 showed increment in leaf length and slight reduction leaf width; in layers III 190 and IV, LY66 and MC670 showed reduction in leaf length and great reduction leaf 191 width. However, JK968 showed the opposite trend with these two varieties 192 193 (Supplementary Table S1). Furthermore, the spatial density of leaf area (SDLA) generally increased with the layer number in all three varieties under high planting 194 density. The greatest increases in layer III were found in JK968 plants 195

(Supplementary Fig. S6). These increases were associated with decreased fractional 196 197 interception of photosynthetically active radiation (FIPAR) in layer II among JK968 plants (Supplementary Fig. S7A) and indicated poor light transmission from the top to 198 the bottom of the plant canopy (Supplementary Fig. S7B). In addition, the red to 199 200 far-red ratio (R/FR) was significantly decreased in layers I-III of LY66 and JK968 201 plants, but not in layers I-II of MC670 plants (Supplementary Fig. S7C). Thus, the light quality was superior in the lower canopy layers of MC670 compared to LY66 or 202 JK968. 203

As a consequence of low light interception and its negative effects on leaf area, 204 205 increasing the planting density significantly reduced the net photosynthetic rate  $(P_n)$  of 206 leaves in layers I and II but not in layers III or IV (Fig. 4). Moreover, high planting 207 density led to a greater  $P_n$  reduction among leaves in layer I (mean = 32.47%) than in layer II (mean = 19.71%). A similar trend was observed for the total chlorophyll 208 209 content (Fig. 5), which increased in layer II compared to layer I, peaked in layer III, then decreased again in layer IV. The decreased of P<sub>n</sub> and total chlorophyll contents of 210 211 MC670 were reduced by a smaller margin in the lower canopy layers compared to the other varieties. 212

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### 214 Effects of planting density on maize ear development

215 The maize ear length, diameter, and bald tip length were measured for each variety and treatment group at maturity. The ear length and diameter decreased along with 216 planting density, whereas the bald tip length increased. JK968 was the most sensitive 217 to increased planting density with respect to the increase of bald tip length 218 219 (Supplementary Fig. S8). Furthermore, assessment of tassel and ear developmental processes indicated that increased planting density resulted in plant growth delays. 220 Specifically, the silking stage was delayed by 2–3 d, 3–6 d, and 3–8 d in the D2–D4 221 treatments, respectively, compared to D1. However, planting density had a smaller 222 effect at the tassel stage than at the silking stage, leading to a longer ASI among plants 223 grown under high-density conditions (Supplementary Table S2). 224

Increased planting density did not appear to affect tassel development or initial ear differentiation (Fig. 6, Supplementary Fig. S9), although ear development (as measured by ear length) lagged significantly in D4 compared to D1 (Fig. 6). Stagnation in ear development under dense planting conditions was more severe as the ears grew; ear lengths in the D4 treatment were decreased by 23.77–35.09%

compared to D1 at 69 d after sowing (DAS), but by 23.18–43.67% at 77 DAS (Fig. 6). 230 231 Furthermore, starch content decreased over time, whereas sucrose, glucose, and fructose contents increased as the ears grew. Starch content was significantly higher 232 under D4 than under D1 conditions, especially at 77 DAS. Levels of glucose and 233 234 fructose in the ear were significantly decreased (by 15.57–36.86% and 11.79–48.95%, 235 respectively) in D4 compared to D1 plants (Fig. 7). Overall, ear length, glucose levels, and fructose levels were most strongly impacted by planting density in JK968 plants, 236 237 followed by MC670, then LY66.

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# Relationships of grain yield to photosynthetic parameters at each planting density

241 Correlation analyses were conducted to comprehensively investigate the relationships between grain yield and physiological parameters associated with biomass 242 243 accumulation and photosynthesis at each planting density (Fig. 8, Fig. S4, Fig. S8, Supplementary Dataset S1). Grain yield was positively correlated with kernel number 244 (Fig. 8A). Moreover, kernel number was significantly correlated with ear length, ear 245 diameter, and bald tip length (Supplementary Fig. S8, G to I). Population-scale 246 247 biomass accumulation was positively correlated with grain yield (Fig. 8B), and population-scale biomass accumulation in layer I and II were positively correlated 248 249 with grain yield (Supplementary Fig. S4F). Furthermore, per-plant biomass accumulation in layers I and II were positively correlated with grain weight per plant 250 (Fig. 8, C and D), and biomass transfer in layers I and II were negatively correlated 251 with grain weight per plant (Fig. 8E). FIPAR in layer III was negatively correlated 252 253 with grain weight per plant (Fig. 8F), and  $P_n$  in layers I and II were positively correlated with grain weight per plant (Fig. 8G) and biomass accumulation per plant 254 255 at maturity in layers I and II (Fig. 8, H and I). Total chlorophyll content in layer II was positively correlated with grain weight per plant (Fig. 8J). Overall, grain yield and 256 257 per-plant grain yield were dependent of photosynthetic parameters in layers I and II.

258

### 259 **Discussion**

Substantial increases in maize yield have been achieved in recent decades due to advances in agricultural technologies and breeding approaches. Increasing the planting density is one of the most important crop management strategies identified for increasing maize yield (Sher et al., 2017; Zhang et al., 2020). This was clearly

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demonstrated in 87 farm experiments undertaken in China from 2017-2020, which 264 265 showed yield gains of 7.3% due in response to increased planting density (Luo et al., 2023). However, yield increases associated with high planting density are not infinite; 266 each variety performs best at an optimal density, beyond which yield declines (Deng 267 268 et al., 2012; Mastrodomenico et al., 2018; Wei et al., 2020). In the present study, total 269 yield was generally increased by dense planting conditions, peaking at D2 for each variety (Table 1). Yield increases in response to high planting density were greatest in 270 MC670, followed by LY66, then JK968. These high yields resulted from the 271 combined effects of increases in the total ear number, kernel number, and 1000-kernel 272 273 weight.

Photoassimilation is the foundational basis of plant productivity and biomass 274 275 production (Gaju et al., 2016), with leaves serving as the primary organs responsible 276 for light interception and photosynthesis (Chen et al., 2019). We here found that 277 increases in the planting density decreased the light interception area, primarily in layer II or III (Supplementary Fig. S5), and increased the SDLA (Supplementary Fig. 278 279 S6). These changes reduced the photosynthetic rate and thus biomass production (Fig. 1). However, population biomass accumulation at maturity showed increases of 280 281 varying degrees along with the density (Table 1, Supplementary Fig. S4). Biomass accumulation varied between varieties, but was generally highest in MC670 and 282 lowest in JK968. Notably, biomass accumulation at both the population and 283 single-plant scale, especially in lower canopy was positively correlated with grain 284 yield and grain weight per plant (Fig. 8, Supplementary Fig. S4F). A recent study 285 demonstrated that the proportion of dry matter accumulation after silking increases, 286 whereas the dry matter transfer rate decreases, in high-yield maize (Liu et al., 2023). 287 Here, we found that biomass accumulation after silking was decreased, but that 288 biomass transfer was increased; this was especially true in MC670 in 2020, which 289 showed relatively higher biomass transfer at the bottom layer (Fig. 2). We therefore 290 291 propose that the strong biomass accumulation and biomass redistribution capacity of 292 maize plants at high density, particularly below the ear, can maintain high plant 293 productivity.

Under dense planting conditions, the spatial distribution of the leaf area is known to affect light interception and utilization (Perez et al., 2019); the altered light environment of the lower canopy (i.e., reduced light intensity and/or altered spectral composition), rather than normal aging, causes decreased efficiency among shaded

leaves (Collison et al., 2020). We here observed that increased planting density 298 299 generally increased the SDLA in all four canopy layers (Supplementary Fig. S6), implying that there was weaker canopy transmission and poor light quality under 300 high-density conditions (Supplementary Fig. S7, B and C). Moreover, increased 301 302 planting density reduced the per-leaf area in layers II and III (LY66 and MC670) or 303 layers I and II (JK968) (Fig. 3, Supplementary Fig. S5). This distribution of leaf area ultimately led to great increases in SDLA within layer III of JK968 plants, 304 305 contributing to higher and lower FIPAR values in layers III and II, respectively (Supplementary Fig. S7A). Furthermore, the observed leaf area patterns allowed more 306 photosynthetically active radiation (PAR) to reach the lower layers in LY66 and 307 308 MC670, resulting in higher photosynthetic rates and increased chlorophyll contents in 309 layers I and II of these plants than in JK968 (Fig. 4, Fig. 5); those photosynthetic 310 parameters were significantly positively correlated with per-plant yield (Fig. 8).

311 Numerous prior publications have focused on changes in physiological function along the vertical gradient within a canopy (Ciampitti and Vyn, 2013; Chen et al., 312 2015; Song et al., 2018; Odorico et al., 2019; Xu et al., 2021). Such studies have 313 generally shown that traits related to photosynthetic capacity remain high in 314 315 middle-canopy leaves, such as the ear leaf and adjacent leaves (Escobar-Gutiérrez and 316 Combe, 2012; Song et al., 2018; Xu et al., 2021). We here found that chlorophyll content was highest in layers III and II, which were near the ear position, consistent 317 with previous reports (e.g., Li et al., 2019). Our results indicated that P<sub>n</sub> decreased 318 consistently from the top to the bottom layers (Fig. 4), which may have been related to 319 leaf senescence and/or low light conditions in the lower canopy (Escobar-Gutiérrez 320 321 and Combe, 2012; Hikosaka et al., 2016). High planting density places a great number of leaves in a shaded environment, which can restrict leaf development and 322 323 photosynthesis, ultimately limiting biomass and yield (Raza et al., 2019). Increased planting density primarily reduced P<sub>n</sub> and pigment contents among leaves in layers I 324 325 and II (Fig. 4, Fig. 5). This implied that the functional traits of leaves in the lower layers were more severely compromised than those in the upper layers. Thus, 326 327 increasing the planting density reduced biomass accumulation by a greater margin in layers I and II than in layers III or IV. Statistical analysis revealed positive 328 329 associations of ear weight and per-plant biomass accumulation with biomass accumulation, P<sub>n</sub>, and total chlorophyll contents in layers I and II specifically (Fig. 8), 330 similar to earlier findings (Zhao et al., 2015). Collectively, these results suggested that 331

photosynthetic production in layers I and II were the primary contributors to increasesin grain yield due to high planting density.

Both planting density and maize variety had significant impacts on yield, kernel 334 number, and 1000-kernel weight (Table 2). Under high-density planting, kernel 335 336 number generally contributes more to yield variations than any related parameters do, 337 including 1000-kernel weight (Andrade et al., 1999). A previous study reported that kernel number is mainly determined by floret number, which varies by genotype 338 (Cárcova et al., 2000). However, specific conditions (e.g., planting density) can also 339 affect kernel number by affecting floret degradation and kernel set (Rossini et al., 340 341 2011). In the present study, increasing planting density delayed the time to silking by 342 up to 2-8 d; this corresponded to increased ASI and decreased ear length (Fig. 6, 343 Supplementary Fig. S8, Supplementary Table S2).

344 Many studies have shown that kernel number is also affected by photosynthetic 345 capacity and photoassimilate accumulation (Otegui et al., 1998; Cui et al., 2015). Carbohydrates, including sugars and starches, are dependent on photoassimilates from 346 source organs and are the main sources for reproductive development (Macneill et al., 347 2017; Gustin et al., 2018). Sugars also act as signaling molecules, regulating the 348 349 expression of various genes involved in metabolic pathways and cellular functions 350 (Valluru, 2015; Hans-Wilhelm et al., 2018; Ruben et al., 2018). Low photosynthetic 351 capacity in the leaves and the resulting insufficient assimilate supply can cause poor ear development, exacerbating yield losses (Hu et al., 2022; Pawar and Rana, 2019). 352 353 In the present study, increasing the planting density increased starch content in the ears, but reduced levels of soluble sugars, especially glucose and fructose (Fig. 7). 354 355 This suggested that increased planting density may have reduced ear metabolism, inhibiting development and thus yield. Moreover, due to the role of glucose as the 356 357 main component of cell wall polysaccharides, low levels of this sugar may reduce the cellular growth rate (Shao et al., 2018). The observed low glucose levels may 358 359 therefore have been responsible for reductions in ear length and diameter (Fig. 6, Supplementary Fig. S8), which were significantly positively correlated with kernel 360 361 number (Supplementary Fig. S8, G to I). In addition, we found that increasing the planting density significantly impacted the ear, but not the tassel (Fig. 6, 362 363 Supplementary Fig. S9, Supplementary Table S2). We hypothesized that this discrepancy was due to more intense intra-species competition during stages in which 364 the ear was developing (V9-VT) than during stages in which the tassel was 365

developing (V6–V12). Notably, the tassel is also located at the top of the canopy,which shows fewer density-dependent effects.

In conclusion, the results of this study indicated that high planting density was 368 associated with decreased photosynthetic capacity of leaves within the lower canopy, 369 370 which led to decreased biomass production. Furthermore, increased planting density 371 suppressed ear development. These influences on both the leaves and the ears resulted in significant per-plant yield loss. Thus, strategies for maximizing grain yield under 372 high-density planting conditions should focus on two key areas: optimizing the 373 canopy structure to maintain high photosynthetic efficiency in the lower-canopy 374 leaves and stimulation of ear development (Fig. 9). Moreover, we characterized the 375 376 maize ideotype for high planting density, that the leaf length and width should be 377 reduced in the upper canopy facilitating light penetration into the lower canopy, to further benefit the photosynthesis in the lower canopy with increased leaf length and 378 379 slightly decreased leaf width. Our study not only provides mechanistic insights into biochemical processes affecting grain yield under high-density conditions, but 380 establishes critical target traits for future maize breeding efforts, ultimately 381 contributing to the development of high-yield maize and thus food security. 382

#### 383 Materials and Methods

#### 384 Plant materials and experimental design

Field experiments were conducted at the Wangtai Experimental Station of Ningxia 385 Academy of Agricultural and Forestry Sciences, Ningxia, China (106° 14' E, 38° 14' 386 N). Experiments were carried out during the growing seasons of 2019 and 2020 387 388 (Supplementary Fig. S1). The field site was located in the arid and semi-arid region of northwest China, in the irrigated zone for spring maize (Zea mays L.). Precipitation 389 390 was monitored with a Watchdog portable-meteorological station (Watchdog 2900ET, Spectrum Technologies Inc., Aurora, IL, USA). The soil type was light sierozem, with 391 73.4 mg/kg alkali-hydrolyzable nitrogen, 66.0 mg/kg available phosphorus (Olsen-P), 392 393 313.8 mg/kg available potassium (NH<sub>4</sub>Ac-K), 1.46 g/kg total nitrogen, and 17.3 g/kg 394 organic matter in the 0-20 cm soil layer. After harvesting in 2018, 150 kg/ha diammonium phosphate (DAP) (containing 18% N and 20% P) was applied in 395 396 combination with deep plowing and winter irrigation. Base fertilizers were applied prior to sowing, containing 225 kg N/ha (urea), 300 kg P<sub>2</sub>O<sub>5</sub>/ha (super phosphate), 397 and 150 kg K<sub>2</sub>O/ha (potassium sulfate). Additional fertilizer (225 kg N/ha [urea]) was 398 applied at the silking stage. Diffuse irrigation was conducted four times during the 399 400 growing period.

The maize cultivars 'Liangyu 66' (LY66), 'Jingke 968' (JK968) and 'MC670' 401 were selected for the field experiments due to their high yield under different planting 402 densities. Maize was sown at three densities (D1–D3) on 25<sup>th</sup> April 2019 and at four 403 densities (D1–D4) on 15<sup>th</sup> April 2020. Rows were spaced 60 cm apart; spacing within 404 each row was adjusted to reach the appropriate density. Three experimental plots per 405 treatment with the split-split plot design was conducted each year, with planting 406 density and cultivars as the main plot and subplot, respectively. Weeds, diseases, and 407 408 pests were well-controlled by applicating pesticide within the plots.

For canopy profiling, aerial plant tissues were vertically divided into four layers based on the ear position; the upper and lower parts of the ear were divided into two layers (Supplementary Fig. S2). All organs were measured in their natural state within the canopy. The four canopy layers were designated I–IV from the bottom of the plant to the top. The leaf located in the middle of each layer was selected as the representative leaf for gas exchange and pigment measurements as described below.

415

#### 416 Grain yield and lodging rate measurements

417 The 1000-kernel weight and kernel number were measured at physiological maturity.

Plants in the middle of each plot were selected for these measurements and 20 ears per 418 plot were gathered. Measurements were taken after the ears were air dried. To 419 measure grain yield, a  $12 \text{-m}^2$  region in the middle of a plot was selected; all plants 420 within that region were harvested to form a single biological replicate. Samples were 421 422 dried and the grain moisture content was standardized (14%) before yield was 423 calculated. Three biological replicates were analyzed per treatment group in 2019 and 2020. The plant lodging rate was calculated as the percentage of lodged plants out of 424 425 the total plant number per plot. Four replicates were performed for each treatment in 426 2020.

427

### 428 Biomass accumulation and transfer measurements

429 Plant aerial tissues were vertically divided into four layers. Leaves, stems (including the internodes, sheaths, and tassels), and ears (including the husks, cobs, and kernels) 430 431 of each layer were collected from three or four representative plants per group at the silking and maturity stages in 2019 and 2020. Tissues were separated and oven-dried 432 to a constant weight, which was recorded as the dry weight (DW). The sum of all 433 parts for each plant was considered the accumulated biomass. There were three to four 434 435 independent replicates per group. Biomass transfer was calculated as described by Wang et al. (2021): subtraction of the biomass accumulation in nutritional organs at 436 maturity from the biomass accumulation in nutritional organs at the silking stage. 437

438

#### 439 Leaf area and light measurements

440 At the silking stage, three representative plants per group were selected for leaf area 441 measurements in 2019 and 2020. Leaves at every position were measured to 442 determine the maximum leaf width (W) and the leaf length (L). The leaf area (S) was 443 then calculated as follows:

444

#### $S = 0.75 \times L \times W$

445 SDLA was calculated as the leaf area index divided by the plant height at each layer.

Light measurements were taken in each layer at 655–665 nm (red) and 725–735 nm (far red) with a SpectraPen LM500 hand-held spectrometer (Photon Systems Instruments, Drásov, Czechia) on a sunny, cloudless day. Three replicates were measured for each treatment in 2020. Using these measurements, R/FR was calculated as irradiance in the red band divided by irradiance in the far-red band. Canopy transmission was calculated as follows: 452

#### Transmission (%) = $PAR_n / PAR_{top} \times 100$

453 where  $PAR_n$  is PAR in each layer and  $PAR_{top}$  is PAR above canopy. The 454 photosynthetically active radiation (PAR) was measured for each layer at the silking 455 stage on a clear day from 11:00–13:00 with a SunScan line quantum sensor (Delta-T 456 Devices, Cambridge, UK). Interception of photosynthetically active radiation (IPAR) 457 corresponded to  $PAR_n$  minus  $PAR_{n-1}$  and was then used to calculate FIPAR as 458 follows:

459 460

### $FIPAR = IPAR / PAR_n$

#### 461 Gas exchange measurements

462  $P_n$  was measured at the silking stage using the LI-6400XT portable photosynthesis 463 system equipped with an LED leaf chamber (Li-Cor Inc., Lincoln, NE, USA). 464 Measurements were taken for the representative leaf in each canopy layer. The 465 photosynthetic photon flux density (PPFD) was assumed to be 2000 µmol photons m<sup>-2</sup> 466 s<sup>-1</sup> on sunny days. Measurements were taken in three replicate plants in 2019 and four 467 replicate plants in 2020 per group.

468

#### 469 **Pigment measurements**

In each canopy layer, the selected representative leaf from three plants per group was 470 471 collected at the silking stage in 2019 and 2020, and frozen at -80 °C. After freezing, each leaf was homogenized via milling, then combined with 1 mL of acetone (100%). 472 Samples were incubated in acetone at 4 °C until all pigments were removed from the 473 leaf tissue. Samples were centrifuged for 10 min at 4 °C and 10,000  $\times$  g. After 474 475 collection of the supernatant, samples were measured using a Ultrospec 8000PC dual-beam spectrophotometer (Biochrom Ltd., Cambridge, UK). These measurements 476 477 were used to calculate the total carotenoid and chlorophyll contents in each sampled leaf as previously described (Lichtenthaler, 1987). Total chlorophyll content was 478 479 calculated as the sum of chlorophyll *a* and chlorophyll *b* content.

480

### 481 Tassel and ear development and flowering rate

Four representative plants from D1 and D4 plot were harvested at 2–3 d intervals beginning at the 10<sup>th</sup>-leaf (V10) stage to assess the tassel and ear developmental processes in 2020. The growth cone was stripped with a dissecting needle, then fixed with formaldehyde/alcohol/acetic acid (FAA) solution. The tassels and ears were 486 photographed with a DSC-WX300 digital camera (Sony Corporation, Tokyo, Japan)
487 and a SteREO Discovery V8 stereoscopic microscope (ZEISS, Oberkochen,
488 Germany). The tassel and ear lengths were also measured.

Before anthesis, plants within a  $6 \text{-m}^2$  area of each plot were labeled. The number of silking ears was then recorded after 16:00 every day. When the percentage of silking ears reached  $\ge 60\%$  for the first time, the plot was recorded as having reached the silking stage.

493

#### 494 Sucrose, glucose, fructose, and starch content measurements

Ear cones from D1 and D4 groups were harvested at 72 d after sowing and 77 d after sowing in 2020, then frozen at -80 °C. The materials were ground to a fine, homogeneous powder with liquid nitrogen. Sugars were extracted from 30 mg of milled ear cone per sample and measured with a sucrose/D-fructose/D-glucose detection kit (K-SUFRG) and a total starch detection kit (K-TSTA) (both from Megazyme, Bray, Ireland).

501

#### 502 Statistical analyses

503 Data were processed in Microsoft Excel 2016. Differences between groups were 504 analyzed with two-way analysis of variance (ANOVA). Least significant difference 505 (LSD) multiple comparison and correlation analyses were performed in SPSS 21.0 506 (SPSS Institute Inc., Chicago, IL, USA). Differences were considered statistically 507 significant at p < 0.05. Figures were generated in GraphPad Prism 8 (GraphPad, San 508 Diego, CA, USA). 

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543

### 544 Author contributions

545 W.Z. and Y.Y. conceived and designed the experiments; Y.Y., R.Z. and Y.W.

546 performed the experiments; P.H., M.Z., S.L. and T.D. helped with the field

547 experiments; Y.Y., F.D., X.L. and W.Z. wrote the manuscript. All authors contributed

548 to data evaluation and interpretation of this article.

#### 550 Tables

551

**Grain Yield** Ear # Pop. biomass Lodging rate Planting Grain weight 1000-kernel **Barren stalk** Cultivar HI Year Kernel #  $(\times 10^{3}/ha)$ weight (g) density (t/ha) per plant (g) (t/ha) rate (%) (%)  $641.89 \pm 9.19^{b}$  $0\pm0^{b}$ D1  $18.6\pm0.17^{c}$  $73.15\pm1.60^c$  $417.12 \pm 14.02^{a}$  $34.38\pm1.01^{c}$  $267.8\pm11.1^a$  $0.54\pm0.01^a$ LY66  $19.8 \pm 0.7^{bc}$  $563.85 \pm 23.95^{de}$ D2  $206.5 \pm 7.9^{bc}$  $100.00 \pm 2.78^{b}$  $381.55 \pm 8.00^{b}$  $40.30 \pm 1.34^{b}$  $0.49 \pm 0.00^{b}$  $3.56\pm1.48^a$  $19.5 \pm 1.0^{bc}$  $369.01 \pm 7.22^{bc}$ D3  $197.8 \pm 4.7^{\circ}$  $112.04 \pm 1.60^{a}$  $535.96 \pm 5.93^{e}$  $39.07 \pm 0.98^{b}$  $0.50 \pm 0.02^{b}$  $4.72\pm0.06^a$ -2019 D1  $19.8\pm0.9^{bc}$  $270.9 \pm 13.4^a$  $76.85\pm3.21^{c}$  $401.13 \pm 9.98^{a}$  $0.56\pm0.02^a$  $-1.19 \pm 2.06^{b}$  $675.10 \pm 19.17^{a}$  $35.43 \pm 0.26^{\circ}$ -MC670 D2  $21.4 \pm 0.6^{a}$  $227.0 \pm 16.6^{b}$  $103.70 \pm 1.60^{b}$  $605.20 \pm 15.04^{\circ}$  $371.12 \pm 5.37^{bc}$  $0.50 \pm 0.01^{b}$  $3.44 \pm 1.46^{a}$  $42.79 \pm 0.13^{a}$  $0.51\pm0.02^{b}$  $20.8 \pm 1.2^{ab}$  $206.9 \pm 9.6^{bc}$ D3  $114.81 \pm 6.42^{a}$  $576.43 \pm 21.94^{d}$  $358.94 \pm 3.06^{\circ}$  $40.96 \pm 1.34^{ab}$  $3.88\pm2.68^a$  $17.5\pm0.3^{cde}$  $569.20 \pm 14.06^{bc}$  $228.4\pm9.0^a$  $75.93 \pm 1.60^{g}$  $401.19\pm8.92^a$  $32.41 \pm 0.28^{i}$  $0.54\pm0.01^{a}$  $0\pm0^a$ D1  $19.9 \pm 1.1^{ab}$  $209.3 \pm 9.1^{cd}$  $105.56 \pm 2.78^{e}$  $552.53 \pm 18.23^{\circ}$  $378.63 \pm 4.04^{bc}$  $38.50\pm1.04^{bcd}$  $0.52\pm0.02^{abc}$  $0\pm0^{a}$ D2 LY66  $18.5 \pm 1.6^{bc}$  $524.80 \pm 3.27^{d}$  $187.3 \pm 1.8^{\rm ef}$  $114.81 \pm 1.60^{cd}$  $361.13 \pm 4.58^{def}$  $37.08 \pm 1.25^{\text{def}}$  $0.50 \pm 0.03^{bcd}$  $3.10 \pm 2.69^{bc}$ D3  $17.8\pm0.6^{cde}$  $173.6\pm4.2^{\text{g}}$  $494.13 \pm 3.84^{e}$  $124.12 \pm 1.64^{b}$  $351.37 \pm 6.47^{fg}$  $36.23\pm0.69^{ef}$  $0.49\pm0.01^{cd}$  $3.56 \pm 1.24^{bc}$ D4  $17.9 \pm 0.3^{cde}$  $73.15 \pm 1.60^{\text{gh}}$  $0\pm0^a$ D1  $223.1 \pm 10.3^{ab}$  $580.37 \pm 13.35^{b}$  $384.48 \pm 14.07^{b}$  $33.00 \pm 1.18^{\text{hi}}$  $0.54\pm0.02^{a}$  $21.0\pm0.1^{a}$  $210.4\pm5.3^{cd}$  $571.77 \pm 7.31^{bc}$  $368.05\pm7.21^{cde}$  $39.64\pm0.52^{ab}$  $0.53\pm0.01^{ab}$  $0.88 \pm 1.52^{ab}$ D2  $102.78 \pm 0.00^{\text{ef}}$ 2020 MC670  $19.9\pm1.0^{ab}$  $197.2\pm7.3^{de}$  $0.49\pm0.03^{bcd}$  $0.78 \pm 1.34^{ab}$  $117.59 \pm 1.60^{\circ}$  $559.40 \pm 15.32^{bc}$  $354.11 \pm 3.01^{efg}$ D3  $40.16\pm0.22^a$  $18.6 \pm 0.9^{bc}$  $175.4 \pm 5.1^{g}$  $128.70 \pm 1.60^{a}$  $512.11 \pm 5.60^{de}$  $39.02 \pm 1.04^{abc}$  $0.48\pm0.01^{d}$  $2.10 \pm 2.08^{abc}$ D4  $342.43 \pm 7.94^{g}$  $16.8 \pm 1.0^{cde}$  $232.8 \pm 9.4^{a}$  $72.22\pm2.78^h$  $609.70 \pm 8.57^{a}$  $381.79 \pm 10.18^{bc}$  $33.85 \pm 1.26^{hi}$  $0.49\pm0.02^{bcd}$  $72.22\pm5.09^{b}$ D1  $0\pm0^{a}$  $18.2 \pm 0.9^{bcd}$  $213.8 \pm 13.9^{bc}$  $574.77 \pm 27.23^{bc}$  $371.85 \pm 8.25^{bcd}$  $89.17 \pm 8.04^{a}$ D2  $101.85 \pm 1.60^{\rm f}$  $37.56 \pm 0.62^{cde}$  $0.48 \pm 0.02^{cd}$  $0.88 \pm 1.52^{ab}$ JK968  $16.4 \pm 1.1^{de}$  $179.0 \pm 6.1^{\text{fg}}$  $113.89 \pm 2.78^{d}$  $501.33 \pm 20.16^{e}$  $357.14 \pm 10.11^{efg}$  $35.66 \pm 0.48^{fg}$  $0.46 \pm 0.03^{d}$  $82.05\pm2.22^{ab}$ D3  $3.91 \pm 1.38^{\circ}$  $125.93 \pm 1.60^{ab}$  $466.67 \pm 22.43^{\rm f}$  $161.1 \pm 7.8^{h}$  $345.18\pm0.74^{g}$  $34.29\pm0.70^{gh}$  $0.47\pm0.04^{d}$  $4.21 \pm 2.06^{\circ}$ D4  $16.1 \pm 1.6^{\rm e}$  $87.50 \pm 6.61^{a}$ 

552 Table 1. Grain yield components and associated parameters in three maize varieties at several planting densities in 2019 and 2020	552	Table 1. Grain vield com	ponents and associated para	meters in three maize v	varieties at several planti	ng densities in 2019 and 2020
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554 Pop. biomass, population-scale biomass accumulation at maturity; HI, harvest index. Data are presented as the mean  $\pm$  standard deviation (SD) from three biological 555 replicates parameter for each variety and year. Lowercase letters indicate statistical significance groups at *p* < 0.05 (two-way analysis of variance).

556

Table 2. Effects of maize variety and planting density on yield and related parameters in 2019 and 2020						
Variable	Effect of variety (V) in 2019	Effect of planting density (D) in 2019	Effect of V × D in 2019	Effect of V in 2020	Effect of D in 2020	Effect of V × D in 2020
Grain yield	14.3**	5.1*	0.09	17.9**	9.8**	0.68
Grain weight per plant	1.5	44.8**	0.12	1.6	117.7**	3.1*
Ear number	5.171*	232.436**	0.043	3.989*	1277.445**	3.234*
Kernel number	26.475**	66.983**	0.12	9.322**	99.089**	9.006**
1000-kernel weight	7.686*	37.703**	0.191	6.304**	48.637**	0.585
Pop. biomass	14.341**	70.906**	0.751	29.13**	68.53**	6.953**
HI	2.34	19.3**	0.13	11.23**	10.44**	0.71
Barren stalk rate	0.775	13.852**	0.151	2.245	9.65**	1.016

558 Pop. biomass, population biomass at maturity; HI, harvest index. Effect sizes shown are the F values from two-way analysis of variance. \*p < 0.05; \*\*p < 0.01 (two-way

analysis of variance).

### 561 Figures and legends

# Figure 1. Biomass accumulation in each maize canopy layer among plants grown at several planting densities.

(A-E) Biomass accumulation at the silking stage for (A) LY66 in 2019, (B) MC670 564 565 in 2019, (C) LY66 in 2020, (D) MC670 in 2020, and (E) JK968 in 2020. (F-J) 566 Biomass accumulation at the maturity stage for (F) LY66 in 2019, (G) MC670 in 567 2019, (H) LY66 in 2020, (I) MC670 in 2020, and (J) JK968 in 2020. D1-D4 represent 75,000, 105,000, 120,000, and 135,000 plants/ha, respectively. Lowercase 568 569 letters indicate statistical significance groups at p < 0.05 (two-way analysis of variance with post-hoc least significant difference test). Data are presented as the 570 571 mean  $\pm$  standard error from three or four biological replicates per group.

572

# 573 Figure 2. Biomass transfer in each maize canopy layer among plants grown at 574 several planting densities.

(A-E) Biomass transfer before the silking stage in (A) LY66 in 2019, (B) MC670 in 575 576 2019, (C) LY66 in 2020, (D) MC670 in 2020, and (E) JK968 in 2020. A negative value indicates that the dry weight was higher at maturity than at the silking stage. 577 The transfer amount was calculated from biomass accumulation per plant. D1-D4 578 represent 75,000, 105,000, 120,000, and 135,000 plants/ha, respectively. Lowercase 579 580 letters indicate statistical significance groups at p < 0.05 (two-way analysis of variance with post-hoc least significant difference test). Data are presented as the 581 582 mean  $\pm$  standard error from three or four biological replicates per group.

583

# Figure 3. Green leaf area at each leaf position among plants grown at several planting densities.

(A-E) Green leaf area at each leaf position at the silking stage in (A) LY66 in 2019,
(B) MC670 in 2019, (C) LY66 in 2020, (D) MC670 in 2020, and (E) JK968 in 2020.
The first visible complete leaf was the seventh leaf from the bottom at the silking stage. Numbers 7–21 indicate the seventh to 21<sup>st</sup> leaves, respectively, from the bottom of the plant. Black dotted lines represent the ear position. D1–D4 correspond to

591 75,000, 105,000, 120,000, and 135,000 plants/ha, respectively. Data are presented as 592 the mean  $\pm$  standard error from three biological replicates per group.

593

# Figure 4. Net photosynthesis (P<sub>n</sub>) in the leaves of each canopy layer among plants grown at several planting densities.

- 596 (A–E)  $P_n$  at the silking stage in (A) LY66 in 2019, (B) MC670 in 2019, (C) LY66 in
- 597 2020, (**D**) MC670 in 2020, and (**E**) JK968 in 2020. D1–D4 represent 75,000, 105,000,
- 598 120,000, and 135,000 plants/ha, respectively.  $P_n$ , net photosynthetic rate. Lowercase 599 letters indicate statistical significance groups at p < 0.05 (two-way analysis of 600 variance with post-hoc least significant difference test). Data are presented as the
- 601 mean  $\pm$  standard error from three or four biological replicates per group.
- 602

# Figure 5. Total chlorophyll contents in leaves from each canopy layer among plants grown at several planting densities.

- 605 (A–E) Total chlorophyll contents in leaves at silking stage in (A) LY66 in 2019, (B) 606 MC670 in 2019, (C) LY66 in 2020, (D) MC670 in 2020, and (E) JK968 in 2020. D1– 607 D4 represent 75,000, 105,000, 120,000, and 135,000 plants/ha, respectively. 608 Lowercase letters indicate statistical significance groups at p < 0.05 (two-way analysis 609 of variance with post-hoc least significant difference test). Data are presented as the 610 mean ± standard error from three biological replicates per group.
- 611

# Figure 6. Young ear development among plants grown at several plantingdensities.

- 614 (A, C, E) Representative (A) LY66, (C) MC670, and (E) JK968 ears at several time 615 points after sowing in 2020. Images were digitally extracted for comparison. (B, D, F) 616 Quantification of ear length over time for (B) LY66, (D) MC670, and (F) JK968 617 plants. Scale bar = 1 cm. DAS, days after sowing. D1, 75,000 plants/ha; D4, 135,000 618 plants/ha. \*p < 0.05, \*\*p < 0.01 (Student's *t*-test). ns, not significant. Data are 619 presented as the mean  $\pm$  standard error from four biological replicates per group.
- 620

623 (A–D) Levels of (A) starch, (B) sucrose, (C) glucose, and (D) fructose. Samples were 624 analyzed at 72 and 77 d after sowing in 2020. DAS, days after sowing. D1, 75,000 625 plants/ha; D4, 135,000 plants/ha. Lowercase letters indicate statistical significance 626 groups at p < 0.05 (two-way analysis of variance with post-hoc least significant 627 difference test). Data are presented as the mean  $\pm$  standard error from three or four 628 biological replicates per group, each of which consisted of pooled samples from at 629 least three plants.

630

# Figure 8. Correlation of yield components with physiological parameters at each canopy layer among plants grown at several planting densities.

(A–B) Correlation of yield with (A) kernel number and (B) biomass accumulation at 633 maturity. (C-G) Correlation of grain weight per plant with (C) biomass accumulation 634 635 per plant at silking, (D) biomass accumulation per plant at maturity, (E) biomass 636 transfer per plant, (F) FIPAR in layer III and (G) P<sub>n</sub>. (H–I) Correlation of biomass accumulation per plant at maturity in (H) layer I and (I) layer II with  $P_n$ . (J) 637 Correlation of grain weight per plant with chlorophyll content in layer II. \*p < 0.05, 638 \*\*p < 0.01 (Pearson correlation analysis). FIPAR, fractional interception of 639 photosynthetically active radiation;  $P_n$ , net photosynthetic rate. (A–E) and (G–I) A 640 total of 54 replicates, each point represents one replicate of one planting density and 641 one variety and one year; (F) and (J) A total of 18 replicates, each point represents 642 643 one planting density of one variety and one year. (A-B) Data are based on the entire canopy; (C-J) Data are based on different canopy layers. 644

645

# Figure 9. Schematic diagram showing the physiological mechanisms of yield losses or gains among plants grown at several planting densities.

648 Representations of (A) reduced and (B) increased grain yield under high-density

planting conditions. Increased planting density reduces the photosynthetic rate and 649 leaf area in the lower canopy layer, thereby reducing assimilate accumulation. 650 Moreover, increased planting density affects the glucose and fructose contents of 651 young ears, impairing ear development. This ultimately reduces kernel number per ear 652 and per-plant kernel weight, resulting in per-plant yield losses. Optimizing leaf 653 morphology in the canopy layers in response to increased planting density could 654 improve the photosynthetic rate and stimulate ear development, increasing yield. The 655 656 dashed boxes represent the parts of below and above ear in the plant. Processes named in turmeric, blue, and black correspond to ear development, carbon metabolism, and 657 yield, respectively. The black arrows represent the indication. Blue arrows (regular 658 solid arrows and outline arrow) and purple outline arrow represent decreases and 659 increases, respectively, in the indicated processes. 660

- 661
- 662

### 663 **Declarations**

664 **Conflict of interest** The authors declare they have no conflicts of interest in this 665 paper.

666

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